

GENETICS AND GENOMICS (A MARIAN, SECTION EDITOR)

### **Connecting the Dots Between Fatty Acids, Mitochondrial Function, and DNA Methylation in Atherosclerosis**

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### Abstract

*Purpose of Review* The quest for factors and mechanisms responsible for aberrant DNA methylation in human disease—including atherosclerosis—is a promising area of research. This review focuses on the role of fatty acids (FAs) as modulators of DNA methylation—in particular the role of mitochondrial beta-oxidation in FA-induced changes in DNA methylation during the progression of atherosclerosis.

*Recent Findings* Recent publications have advanced the knowledge in all areas touched by this review: the causal role of lipids in shaping the DNA methylome, the associations between chronic degenerative disease and mitochondrial function, the lipid composition of the atheroma, and the relevance of DNA hypermethylation in atherosclerosis.

*Summary* Evidence is beginning to emerge, linking the dynamics of FA type abundance, mitochondrial function, and DNA methylation in the atheroma and systemically. In particular, this review highlights mitochondrial beta-oxidation as an important regulator of DNA methylation in metabolic disease. Despite the many questions still unanswered, this area of research promises to identify mechanisms and molecular factors

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**Keywords** DNA methylation · Fatty acids · Beta-oxidation · Mitochondrial dysfunction · Atherosclerosis · Noncommunicable disease

### Introduction

The review starts with an essential history and outline of the continuously evolving paradigms of DNA methylation and gene expression regulation. Subsequently, we present recent evidence linking DNA methylation dynamics with the abundance of FA types and the onset of mitochondrial dysfunction during the natural history of atherosclerosis.

### **DNA Methylation: Heritability and Reversibility**

DNA methylation was first identified in higher eukaryotes in 1948 and has since been found to be widespread in virtually all organisms [1, 2]. In mammals, it occurs primarily in a CpG dinucleotide context, in particular within DNA regions of moderate or low-density CpG and GC content, while short regions of high CpG density and GC content, termed CpG islands (CGIs), are generally devoid of DNA methylation [3–5]. With respect to gene features, most methylation is found within transposon-derived sequences, while promoter regions and first exons are generally unmethylated [6]. Indeed, the lack of methylation within promoter regions reflects the fact that ~75% of promoter regions are within CGIs [5, 7, 8]. With respect to the effect of DNA methylation on transcription, there is a general consensus that methylation in CGI promoter regions is associated with gene silencing, and that

such methylation most probably is the consequence rather than the cause of transcriptional inactivation [9, 10]. Oppositely, methylation residing within the coding region referred to as gene body methylation—tends to show a positive correlation with expression [9].

The fascination with DNA methylation lies in the fact that it is not only heritable but also reversible. This is exemplified by the dynamic changes in DNA methylation during germline and zygote development, where the bulk mammalian genome undergoes two rounds of demethylation followed by remethylation [11, 12]. Exceptions are selected repetitive elements of the intracisternal A-particle (IAP) family-in addition to single-copy loci that are often adjacent to those IAPsthat are refractory to germline DNA methylation reprogramming [13]. The enzymes that catalyze the addition of a methyl group to cytosine to form 5-methylcytosine (5mC) are known as DNA methyltransferases (DNMTs) and are largely defined by their capability of methylating previously unmethylated templates (DNMT3a and DNMT3b) or maintain existing methylation patterns (DNMT1) following DNA replication [14, 15]. Mammalian DNMTs preferentially target cytosines in a 5'-CG-3' context (CpG dinucleotide). Conversely, the loss of DNA methylation occurs either passively due to lack of DNA methyltransferase activity or by an active removal mechanism mediated by ten-eleven translocation (TET) protein family members [16]. The latter catalyze the oxidation of 5mC to 5-hydroxymethyl-C (5hmC) that can be further oxidized to 5-formylcytosine (5fC) and 5carboxylcytosine (5caC). Since 5hmc is a poor substrate of DNMT1, this initial oxidation event can lead to replicationdependent passive loss of DNA methylation. Conversely, excision of demethylation intermediates 5fC and 5caC from DNA is an active process performed by thymine DNA glycosylases [16].

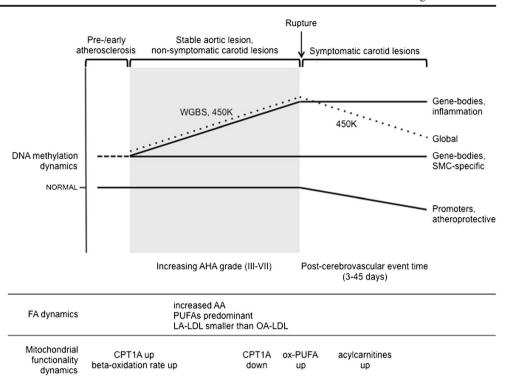
### **DNA Methylation in Disease**

Following pioneering studies in cancer [17, 18], efforts from numerous laboratories show that a multitude of additional noncommunicable diseases show genome-wide changes in DNA methylation relative to a non-diseased state [19]. For example, obesity and its comorbidities non-alcoholic fatty liver disease (NAFLD), type 2 diabetes (T2D), and atherosclerosis are all associated with alterations in DNA methylation [20–24]. In most cases, these alterations represent absolute changes in DNA methylation. However, DNA methylation variation, that can be cell type-dependent [25•], is increasingly been recognized as an important parameter for identifying individuals with cancer, obesity, depression, and type I diabetes [25•, 26–28]. Furthermore, the vast majority of genomewide methylation studies have restricted their methylation analysis of diseased and non-diseased tissues to a single developmental time point rendering it is impossible to assign a causal role of DNA methylation in disease. Exceptions are studies detailing the dynamic changes in DNA methylation before and during the development of atherosclerosis both in ApoE-null mice, a model of hyperlipidemia-induced atherosclerosis [29] and in humans [24, 30]. As for diabetes, DNA methylation profiles that are established in prediabetic and are maintained in diagnosed individuals have been identified in whole blood of both type 1 and type 2 diabetes mellitus patients [31, 32]. As for diabetes complications, a number of whole blood DNA methylated sites are associated with nephropathy risk in type 1 diabetes mellitus [33]. These studies have conclusively shown that alterations in DNA methylation profiles precede the disease.

### **DNA Methylation Dynamics in Atherosclerosis**

Gene-specific and more recently epigenome-wide association studies (EWAS) conducted in blood cells describe DNA methylation profiles that potentially predict, or are associated with, human cardiovascular disease. The reader is referred to two extensive reviews of the topic, one recently published [34, 35]. On the other hand, studies addressing vascular DNA methylation dynamics across specific stages of the natural history of the human atheroma are relatively scarce. A microarray, whole genome sequencing, and RNAseq-based systematic survey of vascular DNA methylation during lesion progression from the early stable phase (AHA grade III) to advanced stable and symptomatic phase revealed a dynamic DNA methylome (Fig. 1). A set of CpGs underwent significant differential methylation in comparison with donor-matched lesion-free portions of the same vessel type. Those profiles were constant in grade III to VII plaques, indicating that they arose at a yet unidentified early stage of lesion progression [24]. The vast majority of that CpG set was hypermethylated in the atherosclerotic portion of the aorta and mapped to genes involved in smooth muscle cell biology. This latter feature was later independently confirmed by comparative epigenomics [36]. Furthermore, significant hypermethylation of Alu, a repeat family representing  $\sim 11\%$  of the human genome, was observed in atherosclerotic portions of the aorta. A further CpG set displayed a methylation drift that increased with lesion severity [30]. Similar to the previously described CpG set, the drift was mainly towards hypermethylation but involved genes regulating macrophage function. Taken together, the data indicate that hypermethylation is the predominant DNA methylome signature in stable human plaques. Independent mouse model studies, in which the DNA methylome drift was controlled by biochemical or molecular manipulation of DNMT and TET2 activity, convincingly assign a causal role to DNA hypermethylation in atherosclerosis [37-40]. On the other hand, the DNA methylome of symptomatic, bona fide post-rupture carotid plaques displayed a global reversal, i.e., a loss of methylation

Fig. 1 Schematic view of available information linking DNA methylation, FA abundance, and mitochondrial activity dynamics in atherosclerosis. See the text for references and symbols



that correlates with the time elapsed from the cerebrovascular event [41]. Rather than normalizing the profiles of loci that undergo hypermethylation during the stable phase, loss of methylation in symptomatic plaques preferentially targets promoters of atheroprotective genes. RNAseq data revealed transcriptional activation of demethylated genes, including *TET2*. Therefore, the post-cerebrovascular event wave of DNA hypomethylation is consistent with the previous observations that the ruptured plaque spontaneously reverts to a relatively stable structure [42].

# Fatty Acids, DNA Methylation, and Mitochondrial Function

Lifestyle choices-such as diet and level of physical activity-in addition to environmental contaminants or socioeconomic status play important roles in the development and modulation of DNA methylation profiles in noncommunicable diseases [43, 44]. Given the heritability of DNA methylation, a lifestyle factorinduced alteration in DNA methylation at any particular developmental time point has the potential to be retained following DNA replication, both within, or across, generations. Indeed, inheritance of DNA methylation patterns acquired during fetal development may form part of the mechanism behind the fetal origins of adult disease [45], a hypothesis proposed by Barker and colleagues that was originally based on the inverse relationship between death from coronary artery disease and birth weight [46]. In addition to DNA methylation [47-49], histone modifications [50, 51], inheritance of small noncoding RNAs [52–54], and mitochondrial dysfunction [55, 56•] have each been associated with specific transgenerationally transmitted phenotypes. Interestingly, a recent study shows that ovaries of mice exposed to high-fat diet show impaired mitochondrial function and loss of methylation [57••]. This suggests an important, unexplored cross talk between mitochondrial function and DNA methylation in transgenerational phenomena.

With respect to diet, much research has focused on the differential role of monounsaturated, polyunsaturated, and saturated fatty acids in metabolic diseases (MUFAs, PUFAs, and SFAs, respectively). Current dietary recommendations for lowering disease risk are based on total reduction in fat content, in particular reducing SFA and trans fat and increasing PUFA consumption [58]. However, several recent studies have highlighted the lack of any association between total fat and/or SFA consumption and mortality from chronic degenerative disease [59-64] that may in part be explained by FA-specific effects and FA source [58, 64, 65]. At the molecular level, in vitro studies have uncovered differential effects of specific FA types on DNA methylation [66–68, 69•]. For example, the SFA palmitic acid (PA) and the PUFA arachidonic acid (AA) provoke increases in DNA methvlation in distinct cell types, while the effects of the MUFA oleic acid (OA) are smaller and in the opposite direction. A comparative study of methylation arrays of cells stimulated with AA, OA, and PA shows that there is considerable overlap between genomic targets of AA- and PA-induced methylation, but to a lesser degree compared to OA [69•]. Importantly, we know that the AA-induced hypermethylated response in cell culture is dependent on known regulators of mitochondrial fatty acid oxidation, i.e., on the activity of the histone deacetylase sirtuin 1 (SIRT1), the transcription factor peroxisome proliferator activated

receptor-alpha (PPAR $\alpha$ ), and carnitine palmitoyl transferase (CPT1) [69•]. Likewise, PA induces hypermethylation of the promoter of PPAR gamma coactivator 1-alpha (PGC-1 $\alpha$ )—a coactivator of PPARs—to a greater extent than OA in primary human myotubes [67]. Interestingly, PGC-1 $\alpha$  promoter methylation is also increased in skeletal muscle from type 2 diabetes mellitus (T2DM) individuals and correlates inversely with mitochondrial DNA (mtDNA) content, a reduction in mitochondrial transcription factor A (TFAM), a key regulator of mtDNA number, in addition to reduced expression of proteins from the mitochondrial respiratory chain [67].

In vivo exposure to specific FAs also differentially impacts on global DNA methylation in peripheral blood or adipose tissue [70, 71, 72•, 73-75]. In a human cohort discordant for BMI, overweight (OW) and obese (OB) individuals showed reduced levels of global DNA methylation and PUFA-but increased levels of SFA and MUFA-relative to individuals with normal BMI [72•]. Importantly, neither OW nor OB individuals showed abnormalities in blood parameters typically associated with metabolic disease such as blood pressure, lipoprotein, triglyceride, and glucose content. This suggested that hypomethylation in blood may be an early indicator of metabolic disease, possibly reflecting undiagnosed fatty liver disease [76•]. As described previously, in cell culture, both SFAs and PUFAs (i.e., PA, the most abundant SFA in human blood and AA, respectively) are associated with increased levels of beta-oxidation-dependent DNA methylation, and the genomic targets of DNA methylation changes between these FAs are similar [68, 69•]. Therefore, the observed hypomethylation in OW and OB individuals is more likely the result of reduction in mitochondrial beta-oxidation rather than a low PUFA intake. The reduction in beta-oxidation could either result from defects in mitochondrial beta-oxidation pathways or from the reciprocal nature between glucose and lipid metabolism [77], i.e., that high concentrations of glucose can inhibit fatty acid oxidation via malonyl-CoA inhibition of CPT1. In support of the latter, hypomethylation observed in obese individuals with and without T2D showed upregulation of acetyl-CoA carboxylase (ACC) [78..], an enzyme complex that catalyzes the carboxylation of acetyl-CoA to malonyl-CoA. Notably, in that study, the liver methylome of OB individuals with or without T2DM also showed lower levels of DNA methylation compared to non-obese. This again suggests that obesityassociated DNA hypomethylation precedes or is present at an early stage in the development of T2DM.

# Fatty Acids, DNA Methylation, and Mitochondrial Function in Atherosclerosis

Lipids have been characterized in plaques by imaging techniques that yield good noninvasive data but do not reach the detail of lipidomics in profiling the >300 lipids contained in the atherosclerotic plaque [79]. Lipidomics is a relatively new discipline that has been applied to animal models, but corresponding studies in humans are still scarce. For an extensive review of lipidomics in vascular biology, see the work by Kolovou and colleagues [80•]. The data presented in the following paragraph are based on FA composition in the atheroma, therefore may, or may not, match accepted outcomes of dietary interventions with specific FA types.

AA is more abundant in diabetic compared to nondiabetic patient plaques, thus appears to directly follow lesion severity [81•]. Also, the AA precursor LA is high in stable plaques. PUFAs and MUFAs are directly and inversely associated with global DNA methylation in peripheral blood, respectively [72•], and are therefore expected to show inverse abundances in the hypermethylated plaque milieu and associations with lesion size/severity. Accordingly, 18-carbon di- and tri-unsaturated, and  $\omega$ -6 PUFAs are the two most abundant FA types in rabbit plaque [82]. Furthermore, a recent report shows that genetically lowering PUFAs by fatty acid desaturase 1 (FADS1) gene disruption decreases atherosclerotic lesion size in mice [83..]. On the other hand, atheroprotective liver X receptor agonists increase FADS1 and PUFAs [84], thus indicating that the responses to PUFAs are certainly influenced by a complex set of factors including diet and physiological conditions. As for MUFAs, two recent studies indicate that supplementation with oils enriched in that FA class decreases atherosclerosis in rodent models [85, 86]. Data on lowdensity lipoprotein (LDL) FA composition is consistent with opposite pathological effects of PUFAs and MUFAs, as LArich LDL particles are smaller and therefore in principle more atherogenic than OA-rich counterparts [87].

Recent data highlight the involvement of FA-induced DNA methylation profiles in atherosclerosis. AA induces DNA hypermethylation in a cultured monocyte model, and a subset of the targeted CpGs is significantly enriched in loci that are hypermethylated in stable atherosclerosis [69•]. In the same study, OA elicited the opposite profiles compared to AA. Notably, etomoxir, a CPT1 inhibitor, nearly completely abolished AA-induced DNA hypermethylation. Mitochondrial dysfunction has been long recognized as an important phenomenon in atherosclerosis [88]. A recent review is a useful updated panorama of the field [89•]. Also, work published in the last year showed that mitochondrial dysfunction induces posttranslational modifications of actin that favor colocalization of mitochondria and the inflammasome [90•]. Yet, evidence linking the dynamics of mitochondrial functionality and atheroma progression is relatively scarce. Expression and epigenetic data for CPT1A, a critical enzyme for FA entry into mitochondria, support the idea that DNA hypermethylation observed in hyperlipidemia and early atherosclerosis coincides with, or is a short-term memory of, abnormally high mitochondrial activity [24]. Differential methylation and expression of the CPT1A gene is a recurrent finding in EWAS. In particular, two studies described a direct association of circulating triglycerides (TGs) with CPT1A expression [91...,

92]. Although with the *caveat* that neither study details the prevalence of atherosclerosis in the corresponding cohorts, the data suggest that atherosclerosis risk or its early stages coincide with lipid-induced increased mitochondrial functionality. Importantly, one of the two EWAS concludes that changes in DNA methylation are the consequence of lipid levels, not the opposite, in the studied cohort [91..]. The data echo the global DNA hypermethylation induced by TG-rich lipoproteins in cultured macrophages [93]. Conversely, a deterioration of mitochondrial functionality should coincide with the shift towards DNA hypomethylation observed in advanced, rupture-prone plaques [41]. Indeed, acylcarnitines, a marker of incomplete beta-oxidation, are increased in symptomatic plaques [94•]. Furthermore, oxidized PUFAs, a by-product of stalled beta-oxidation in the oxidizing milieu of dysfunctional mitochondria, are a hallmark of the necrotic core of unstable plaques [95]. CPT1A expression follows a consistent trend, as it decreases in advanced, compared to early atherosclerotic lesions in ApoE-null mice [96]. Direct experimental data on the effects of etomoxir on atherosclerosis progression are to our knowledge not available and would be extremely valuable.

A further important issue is macrophage phenotype. Macrophages have been classified into pro-inflammatory or repairing/resolving phenotypes (M1 or M2, respectively). The relevance and controversies of this classification are explained in detail in a recent review [97•]. M2 macrophages rely on beta-oxidation more than M1 counterparts and following the line of reasoning presented here should peak when the lesion DNA is hypermethylated. Yet, current macrophage phenotype censing in the atheroma is not conclusive enough to support any such correlation.

The data thus suggest that de novo methylation of at least a subset of loci differentially methylated in atherosclerosis is dependent of beta-oxidation. It follows a model of DNA methylome shaping by FAs in atherosclerosis, in which AA and possibly other PUFAs [72•] initially induce aortic DNA hypermethylation supported by active beta-oxidation. As disease progresses, mitochondrial overload results in mitochondrial dysfunction, decreased beta-oxidation, and DNA hypomethylation. Experimental evidence convincingly supporting this model includes plaque lipid content data—particularly individual FAs and markers of mitochondrial functionality—obtained across atherosclerosis progression stages.

The above outlined associations between DNA methylation, FAs, and mitochondrial function are based on donormatched pairs of atherosclerotic and normal vascular samples. In that sample type, atherosclerosis is marked by a relative DNA hypermethylation. Yet, comparisons between atherosclerotic arteries and control samples from distinct donors suggest a more complicated scenario, as these studies have detected DNA hypomethylation in atherosclerosis in humans

[98, 99]. A *caveat* in the latter studies is that atherosclerotic and control arteries were of different anatomic locations, thus introducing a potential confounder. Indeed, the comparison of whole blood DNA of healthy and coronary artery disease patients revealed hypermethylation in the latter [100]. Nonetheless, the data suggest that cardiovascular risk factors might impose DNA hypomethylation in the preatherosclerotic arterial wall, and that hypermethylation is a subsequent event that marks the appearance and progression of the stable atheroma. This scenario is supported by evidence that two cardiovascular risk factors, obesity and diabetes, are marked by DNA hypomethylation and reduced mitochondrial FA metabolism [31, 72•, 101]. Thus, the relative increase in mitochondrial functionality in atherosclerosis compared to pre-atherosclerosis may reflect the energy-demanding, atheroma milieu-specific active inflammatory process. Indeed, epigenomics data available for donor-matched and nondonor-matched samples suggest that the early "priming" effect and the atheroma-specific events target distinct gene sets and are therefore non-overlapping pathobiological processes [24, 30, 99].

Taken together, the above data prompt the speculative hypothesis that the pre-atherosclerosis, obesity/diabetesassociated DNA hypomethylation, and the hypomethylation wave observed in symptomatic plaques possibly result from two distinct processes. As discussed above, the former may reflect a shift from FAs to carbohydrate metabolism, accompanied by ACC-driven inhibition of CPT1 activity [78..]. It is tempting to speculate that related phenomena may underlie the widespread DNA hypomethylation observed in cancer, where glucose metabolism predominates (Warburg effect [102]). The latter DNA hypomethylation event might result from betaoxidation overload and mitochondrial dysfunction. These are testable hypotheses that might provide important insights into disease epigenetics. Lastly, based on the evidence discussed in the "Fatty Acids, DNA Methylation, and Mitochondrial Function" section, the dietary fatty acid composition may represent an important determinant of DNA methylation profiles via the specific activation or repression of genes involved in mitochondrial betaoxidation.

### Conclusion

Although patchy and challenged by unanswered questions, evidence is emerging that links DNA methylation, FA abundance, and mitochondrial function in atherosclerosis and related riskenhancing disorders. If supported by further experimental testing, those findings might lead to effective prevention or therapeutic strategies for cardiovascular disease. **Acknowledgements** GL and SZ have received funding from the Kellogg's Institute for Nutrition and Health, "Research Projects in Nutrition 2016" grant no. 100. Due to the extent of available literature and the multidisciplinary nature of this review, readers are in some instances referred to review articles. We apologize to all authors whose work was not directly cited.

#### **Compliance with Ethical Standards**

**Conflict of Interest** Gertrud Lund and Silvio Zaina each declare no conflicts of interest.

Human and Animal Rights and Informed Consent All reported studies/experiments with human or animal subjects performed by the authors have been previously published and complied with all applicable ethical standards (including the Helsinki Declaration and its amendments, institutional/national research committee standards, and international/national/institutional guidelines).

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