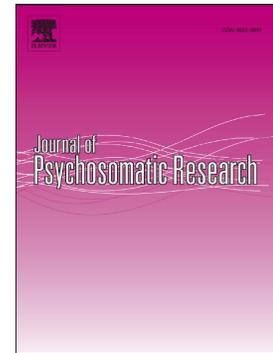


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Autonomic nervous system function, activity patterns, and sleep after physical or cognitive challenge in people with chronic fatigue syndrome

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RUNNING HEAD: Post-exertional autonomic functioning in chronic fatigue

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**Abstract**

**Objective:** To explore changes in autonomic functioning, sleep, and physical activity during a post-exertional symptom exacerbation induced by physical or cognitive challenge in participants with chronic fatigue syndrome (CFS).

**Methods:** Thirty-five participants with CFS reported fatigue levels 24-hours before, immediately before, immediately after, and 24-hours after the completion of previously characterised physical (stationary cycling) or cognitive (simulated driving) challenges. Participants also provided ratings of their sleep quality and sleep duration for the night before, and after, the challenge. Continuous ambulatory electrocardiography (ECG) and physical activity was recorded from 24-hours prior, until 24-hours after, the challenge. Heart rate (HR) and HR variability (HRV, as high frequency power in normalized units) was derived from the ECG trace for periods of wake and sleep.

**Results:** Both physical and cognitive challenges induced an immediate exacerbation of the fatigue state ( $p < 0.001$ ), which remained elevated 24-hours post-challenge. After completing the challenges, participants spent a greater proportion of wakeful hours lying down ( $p = 0.024$ ), but did not experience significant changes in sleep quality or sleep duration. Although the normal changes in HR and HRV during the transition from wakefulness to sleep were evident, the magnitude of the increase in HRV was significantly lower after completing the challenge ( $p = 0.016$ ).

**Conclusion:** Preliminary evidence of reduced nocturnal parasympathetic activity, and increased periods of inactivity, were found during post-exertional fatigue in a well-defined group of participants with CFS. Larger studies employing challenge paradigms are warranted to further explore the underlying pathophysiological mechanisms of post-exertional fatigue in CFS.

**KEYWORDS**

chronic fatigue syndrome; post-exertional fatigue; heart rate variability; parasympathetic; sleep.

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## 1. Introduction

Chronic fatigue syndrome (CFS) is a debilitating disorder of unknown pathogenesis, characterised by fatigue which is not relieved by rest, and is accompanied by constitutional symptoms including cognitive difficulties and unrefreshing sleep [1]. Transient exacerbation of the fatigue state after relatively minor activity (termed ‘post-exertional fatigue’) constitutes a hallmark symptom of CFS, a phenomenon previously documented in a controlled laboratory setting following both physical and cognitive challenges [2-4]. Fatigue levels rise after challenge, and remain elevated for a prolonged period, taking hours to days to return back to baseline levels. The pathophysiological processes underlying this post-exertional symptom exacerbation remain unclear.

Altered autonomic nervous system (ANS) functioning has been frequently reported in patients with CFS [5, 6], and other disorders featuring fatigue and pain [7-10]. Heart rate variability (HRV), a measure of heart inter-beat interval fluctuations, provides an index of the relative activity of the sympathetic and parasympathetic divisions of the ANS [11]. Changes in HRV are observed in healthy participants after performing fatigue-inducing, sustained mental and physical challenges [12-14], but has not been systematically explored in individuals with CFS during the post-exertional worsening of symptoms. Additionally, ANS imbalance impacts on sleep physiology and quality [15], with preliminary evidence suggesting that reduced HRV in patients with CFS is most prominent during sleep [16, 17]. Thus, it is plausible that nocturnal HRV alterations following day-to-day challenges may contribute to the pathophysiology of the fatigue state.

In this exploratory study, we examined autonomic balance, and changes in sleep / activity behaviour, during the exacerbation of fatigue induced by physical and cognitive challenges in a well-characterised sample of participants with CFS. We hypothesised that the

post-exertional fatigue experienced by participants with CFS following physical and cognitive challenges would be accompanied by reduced HRV, more sleep, and reduced activity.

## 2. Methods

### 2.1. Design and sample

A within-subjects, repeated-measures design was employed. Participants reported fatigue levels: 24-hours prior and again immediately prior to (averaged to represent baseline), immediately after, and 24-hours after, physical or cognitive challenge. Continuous ambulatory electrocardiography (ECG) and physical activity was recorded from 24-hours prior to 24-hours after challenge. Full details of the challenge protocols have previously been reported [2]. Briefly, participants completed either a moderate-intensity aerobic exercise (PHYS; 25-minutes stationary cycling with workload equivalent to 70% age-predicted maximal heart rate) or a cognitively-demanding challenge (COG; 30-minutes of simulated driving adhering to road rules). All participants were assessed between 11.00AM and 2.00PM under controlled laboratory conditions. Protocols were approved by the institutional human research ethics committee. All participants provided informed, written consent prior to participating.

Thirty-five participants with a primary diagnosis of CFS (according to international diagnostic criteria [1]) were recruited from a specialised tertiary referral clinic providing an outpatient management program for CFS [18]. Participants were approached after their treating clinicians had resolved that they had a stable pattern of symptoms (i.e., consistently endorsed the same symptoms without major fluctuations in severity) as well as optimised sleep-wake cycle (i.e., regimented night-time sleep routine with consistent sleep and rise times, and minimised daytime napping) and mood profiles. Medications affecting autonomic

functioning (including beta-blockers, corticosteroids, and benzodiazepines), or any other contraindication to participation (e.g., untreated anxiety, uncontrolled cardiovascular complaints) were exclusionary. For the PHYS challenge (n=24), participants were eligible if they were completing clinician-prescribed regular low-intensity exercise (e.g., 10-minutes of gentle pace walking) without symptom exacerbation, and were physically capable of performing moderate-intensity aerobic exercise for at least 25 minutes. For the COG challenge (n=11), participants were eligible if they reported experiencing significant cognitive symptoms and a history of worsened symptoms following cognitive activity, knew how to drive a car, and were able to tolerate computer-based tasks for at least 30 minutes.

## **2.2. Instruments**

### *2.2.1. Self-reported measures*

Self-reported fatigue was assessed using the Fatigue and Energy Scale (FES) [2], which records current fatigue severity (i.e. “right now”) across physical and mental dimensions using a descriptor-anchored Likert scale ranging from 0 to 10 (e.g. 0 = “no fatigue”; 10 = “absolute maximum fatigue”). Baseline somatic symptoms were recorded using the validated 6-item SOMA subscale [19] of the Somatic and Psychological Health Report (SPHERE) [20]. Sleep quality over the preceding month was assessed using the Pittsburgh Sleep Quality Index (PSQI) [21]; for subsequent assessment of each night’s sleep quality, an 11-point Likert scale was utilised.

### *2.2.2. Autonomic and activity parameters*

Ambulatory ECG (256Hz sampling) and movement (via tri-axial accelerometer, 25.6Hz sampling) were recorded via lightweight Equivital EQ-02 module (Hidalgo, United Kingdom) housed in a comfortable chest-worn harness, and analysed using LabChart Pro 7

(ADInstruments, Australia). ECG traces were visually inspected with artefacts removed from analysis. HRV was derived for 5-minute ECG epochs with <5% artefact. Fast Fourier transformation was performed to determine the high frequency (HF) spectral component (0.15-0.40Hz), providing a validated reflection of parasympathetic activity [11] presented in normalised units (HFnu %; i.e., as a proportion of total power in the 0.04-0.4Hz range). Tri-axial accelerometry provided an index of bodily orientation (upright, supine, prone) and movement speed (stationary, moving slowly, or moving fast). These data, in conjunction with autonomic parameters and self-reported sleep times were used to designate sleep / wake periods, and to identify periods of daytime lying down. Heart rate (HR) and HRV was processed for the entire 24-hour period both before and after the challenge, with specific time segments identified for when the participant was asleep (at night) and awake (the total 24-hour period with the time spent asleep subtracted).

### **2.3. Statistical analyses**

Analyses were performed using Stata 14, with two-tailed significance set at  $p < 0.05$ . Potential differences in demographic and clinical characteristics between challenge groups were explored using independent samples  $t$ -tests and Pearson  $\chi^2$  tests. Mixed repeated-measures analysis of variance was used to examine the trajectory of fatigue over time in response to challenges. Pearson correlations were conducted to explore pairwise associations between key variables. Linear mixed models (LMM; with random intercepts by participant and robust standard errors) were used to explore behavioural (sleep duration and quality, proportion of daytime hours spent lying down) and autonomic (HR and HRV, controlling for age) changes in response to challenges. The interaction between challenge time and wakefulness was also explored for HR and HRV to examine if the shift in autonomic balance during the transition from wakefulness to sleep was affected by the challenge.

### 3. Results

Demographic and clinical characteristics of the participant sample are reported in Table 1; these did not significantly differ across challenges (all  $p > 0.10$ ). All participants were non-smokers. As previously reported [2] both PHYS and COG challenges induced an exacerbation of the fatigue state. A main effect ( $F(2,66)=12.63$ ,  $p < 0.001$ ,  $\eta_p^2=0.28$ ) and quadratic trend ( $F(1,33)=6.80$ ,  $p=0.014$ ,  $\eta_p^2=0.17$ ) of time was observed; fatigue increased from baseline immediately after completing the challenges, and remained elevated 24-hours after challenges (Table 1). No significant main effect of challenge, nor challenge  $\times$  time interaction was identified. Given this, and the similarity in demographic and clinical characteristics, the data were collapsed across challenges for subsequent analyses.

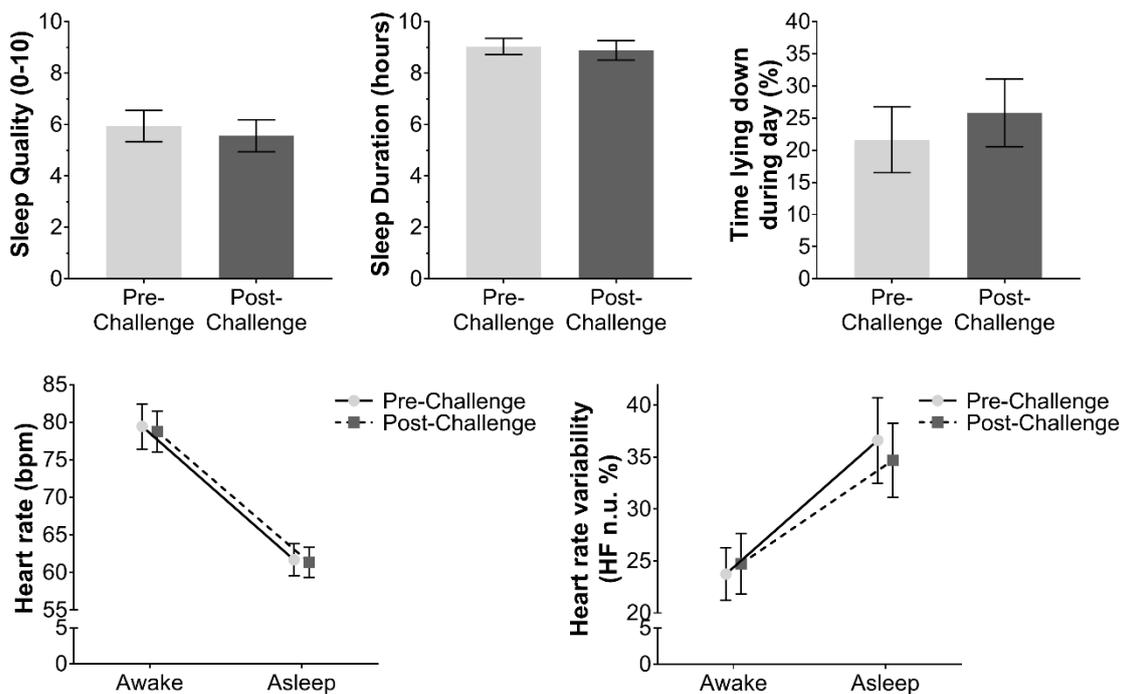
**Table 1.** Descriptive and clinical characteristics at baseline, and fatigue in response to challenge, of participants with chronic fatigue syndrome (CFS) who completed physical (n = 24) or cognitive (n = 11) challenges.

	Physical (PHYS) challenge		Cognitive (COG) challenge	
	M	SD	M	SD
Age (years)	36.0	10.3	36.7	9.4
Sex				
Female (n; %)	15	62.5%	4	36.4%
Male (n; %)	9	37.5%	7	63.6%
Body mass index (kg/m <sup>2</sup> )	22.5	3.2	23.8	2.7
Moderate to vigorous physical activity (hours / week)	1.4	1.1	1.9	2.2
Physical symptoms (SOMA <sup>^</sup> ; 0-12)	5.8	3.1	5.7	3.4
Retrospective sleep quality (PSQI <sup>*</sup> ; 0-21)	7.0	3.8	7.5	3.8
Fatigue (FES global score, 0-10)				
Baseline	4.4	1.6	4.8	1.2
0h post-challenge	5.6	2.3	6.9	1.7
24h post-challenge	5.8	2.3	6.4	2.0

<sup>^</sup> SOMA scores  $\geq 3$  indicate clinically significant fatigue; <sup>\*</sup> PSQI scores  $\geq 5$  indicate poor sleep quality.

Sleep, activity, and autonomic parameters during the post-exertional exacerbation of fatigue are shown in Figure 1. LMM analyses identified that sleep quality and duration was comparable pre- and post-challenge; however the proportion of daytime hours spent lying down was significantly greater post-challenge ( $B=4.17\pm 1.85$ ,  $p=0.024$ , 95%CI:0.54,7.81). As expected, a significant decrease in HR ( $B=-17.76\pm 1.00$ ,  $p<0.001$ , 95%CI:-19.72,-15.79) and increase in HRV ( $B=12.87\pm 1.49$ ,  $p<0.001$ , 95%CI:9.95,15.79) between wake and sleep was found. No significant effect of challenge time (pre-challenge vs. post-challenge) was found

for HR or HRV; however a significant challenge time  $\times$  wakefulness interaction was identified for HRV, with the shift in HRV between wakefulness and sleep decreasing post-challenge ( $B=-2.91\pm 1.20$ ,  $p=0.016$ , 95%CI:  $=-5.26,-0.55$ ). The inclusion of daytime inactivity (i.e., the proportion of daytime hours spent lying down) in the regression models of autonomic activity elicited no change in the parameter estimates. Pairwise correlations demonstrated a significant association between poorer sleep quality (from PSQI) and reduced pre-challenge nocturnal HRV ( $r(34)=-0.41$ ,  $p=0.014$ ); however no significant associations between autonomic parameters and changes in sleep and activity measures were found.



**Figure 1.** Sleep, physical activity, and autonomic activity of participants with chronic fatigue syndrome ( $n=35$ ) before (pre-challenge) and after (post-challenge) completing physical or cognitive challenge. Data are presented as means, with error bars indicating 95% confidence intervals.

#### 4. Discussion and Conclusion

This preliminary study examined autonomic and behavioural parameters in participants with CFS before and after they completed fatiguing cognitive or physical challenges. Both challenges induced an exacerbation of self-reported fatigue, which remained elevated 24-hours post-challenge. As hypothesised, participants spent a greater proportion of daytime hours lying down after completing a challenge, yet contrary to our hypothesis reported comparable duration and quality of sleep duration pre- and post-challenge. HR and HRV showed the expected, normal diurnal modulations with the sleep / wake cycle [22, 23], yet the magnitude of increase in HRV between wake and sleep was significantly reduced after completing the challenge, suggestive of reductions in nocturnal parasympathetic activity during post-exertional worsening of symptoms in CFS.

Diurnal changes in parasympathetic, vagal activity reflected by HRV serve an important role in the 'falling asleep' process. Gradual increases in vagal activity are typically observed during the transition from wakefulness to sleep, peaking in the early hours of the sleep cycle during restorative, slow wave (deep) sleep [23-25]. Our correlational analyses were commensurate with previous studies demonstrating a link between greater nocturnal HRV and better sleep quality in healthy and clinical populations [26-28]. However, we did not observe a significant reduction in self-reported sleep quality accompanying reduced nocturnal HRV during the post-challenge period; this might reflect a lack of sensitivity in subjective sleep quality measures, as well as a loss of specificity when using HRV averaged across the night (i.e., collapsed across different sleep stages, which inherently differ in HRV [23]). The use of polysomnography in future studies would allow a more detailed examination of the impact of physical and cognitive challenges on HRV during specific sleep stages, and their influence on the experience of post-exertional fatigue.

Notable limitations of the study are that participants were recruited from a specialist tertiary-care clinic, and although experiencing significant impairment, they may have been more functional than others who are unable to undertake outpatient treatment or tolerate challenge protocols. It is possible that individuals with more severe fatigue and functional disability would have greater symptom exacerbation in response to challenges, accompanied by more prominent alterations in autonomic and behavioural parameters. The amalgamating of cognitive and physical challenges, although eliciting comparable fatigue exacerbation, also limits the conclusions that can be drawn. Further investigation with larger-sized samples and consideration of additional covariates (e.g., mood symptoms) is required before the mechanisms responsible for the observed alterations in HRV can be established, and the clinical implications of these findings determined.

#### **Conflict of Interest Statement**

The authors declare that they have no competing interests.

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#### **Conflicts of interest**

Dr Cvejic: nothing to disclose

Dr Sandler: nothing to disclose

Dr. Keech: nothing to disclose

Dr. Barry: nothing to disclose

Prof. Lloyd: nothing to disclose

Prof. Vollmer-Conna: nothing to disclose

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**Highlights**

- Substantive and prolonged exacerbation of fatigue was triggered by physical or cognitive challenges
- A greater proportion of daytime hours were spent lying down post-challenge
- Sleep duration and quality were comparable pre- and post-challenge
- Nocturnal parasympathetic activity was reduced post-challenge

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